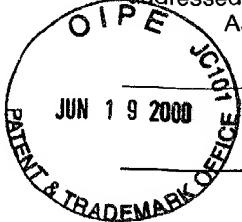


RECEIVED

JUN 20 2000

GROUP 2700

I hereby certify that this correspondence is being deposited with the United States Postal Service, with sufficient postage, as first class mail in an envelope addressed to:



Assistant Commissioner for Patents  
Washington, D.C. 20231

on June 15, 2000

Date of Deposit

Steven P. Shurtz

Name of applicant, assignee or  
Registered Representative

Steven P. Shurtz

Signature

6/15/00

Date of Signature

Our Case No. 8864/8

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )  
Griffith D. Neal )  
Serial No. 09/470,428 ) Examiner: To Be Assigned  
Filing Date: December 22, 1999 )  
For HIGH SPEED SPINDLE MOTOR )  
FOR DISC DRIVE )

## INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Pursuant to the obligation under 37 C.F.R. §1.56 and in conformance with 37 C.F.R. §§1.97-1.98, Applicant brings to the attention of the Examiner in charge of the above-captioned case the following references and information.

U.S. PATENT DOCUMENTS			
	DOCUMENT NUMBER	DATE	NAME
A1	3,942,054	03/02/1976	Kristen et al.
A2	4,572,979	02/25/1986	Haar et al.

RECEIVED

JUN 20 2001

GROUP 2700

U.S. PATENT DOCUMENTS			
	DOCUMENT NUMBER	DATE	NAME
A3	4,801,833	01/31/1989	Dye
A4	4,853,576	08/01/1989	Mayumi et al.
A5	5,121,021	06/09/1992	Ward
A6	5,134,327	07/28/1992	Sumi et al.
A7	5,206,554	04/27/1993	Perrot
A8	5,268,607	12/07/1993	McManus
A9	5,334,897	08/02/1994	Ineson et al.
A10	5,382,852	01/17/1995	Yuhi et al.
A11	5,414,317	05/09/1995	Reid et al.
A12	5,500,780	03/19/1996	Boutaghout et al.
A13	5,506,458	04/09/1996	Pace et al.
A14	5,541,787	07/30/1996	Jabbari et al.
A15	5,548,458	08/20/1996	Pelstring et al.
A16	5,558,445	09/24/1996	Chen et al.
A17	5,579,188	11/26/1996	Dunfield et al.
A18	5,587,617	12/24/1996	Dunfield et al.
A19	5,598,048	01/28/1997	Dunfield et al.
A20	5,610,463	03/11/1997	Dunfield et al.
A21	5,619,083	04/08/1997	Dunfield et al.
A22	5,619,389	04/08/1997	Dunfield et al.
A23	5,668,427	09/16/1997	Morita
A24	5,672,927	09/30/1997	Viskochil
A25	5,675,196	10/07/1997	Huang et al.
A26	5,694,268	12/02/1997	Dunfield et al.
A27	5,729,404	03/17/1998	Dunfield et al.
A28	5,742,450	04/21/1998	Moser
A29	5,751,514	05/12/1998	Hyde et al.
A30	5,633,545	05/27/1997	Albrecht et al.
A31	5,666,242	09/09/1997	Edwards et al.
A32	5,806,169	09/15/1998	Trago et al.

**OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)**

A33	LNP Engineering Plastics, Press Release entitled "LNP Introduces First-Ever Line of Thermally Conductive Compounds," January 28, 1999 (2 pages)
A34	LNP Engineering Plastics, Advertisement entitled "Konduit™ Thermally Conductive Composites," undated (2 pages)

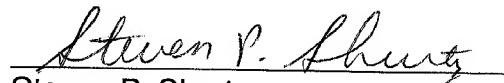
Copies of the cited references and a form PTO 1449 listing the same are enclosed herewith for the Examiner's convenience. Applicant requests that the references listed on the form PTO-1449 be reviewed and made of record.

In addition to the documents listed above, also enclosed are copies of what are believed to be slides from a presentation (entitled "Thermoplastic Encapsulation") that was made by the inventor to potential customers. The presentation was made more

than one year before the filing date of the present application, but not more than one year before July 29, 1999, the filing date of the provisional application to which the present application claims priority under 35 U.S.C § 119(e).

This Information Disclosure Statement is being mailed prior to the mailing of a first office action, in accordance with 37 C.F.R. §1.97(b)(3).

Respectfully submitted,

  
\_\_\_\_\_  
Steven P. Shurtz  
Registration No. 31,424  
Attorney for Applicant

BRINKS HOFER GILSON & LIONE  
P.O. BOX 10395  
CHICAGO, ILLINOIS 60610  
(312) 321-4200

FORM PTO-1449	SERIAL NO. 09/470,428	CASE NO. 8864-8
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (use several sheets if necessary)	FILING DATE December 22, 1999	GROUP AR UNIT 202001
JUN 19 2000 PATENT AND TRADEMARK OFFICE		APPLICANT(S): Griffith D. Neal

RECEIVED

GROUP 2700

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	TRADEMARK DATE	NAME	CLASS/ SUBCLASS	FILING DATE
A1	3,942,054	03/02/1976	Kristen et al.		
A2	4,572,979	02/25/1986	Haar et al.		
A3	4,801,833	01/31/1989	Dye		
A4	4,853,576	08/01/1989	Mayumi et al.		
A5	5,121,021	06/09/1992	Ward		
A6	5,134,327	07/28/1992	Sumi et al.		
A7	5,206,554	04/27/1993	Perrot		
A8	5,268,607	12/07/1993	McManus		
A9	5,334,897	08/02/1994	Ineson et al.		
A10	5,382,852	01/17/1995	Yuhi et al.		
A11	5,414,317	05/09/1995	Reid et al.		
A12	5,500,780	03/19/1996	Boutaghou et al.		
A13	5,506,458	04/09/1996	Pace et al.		
A14	5,541,787	07/30/1996	Jabbari et al.		
A15	5,548,458	08/20/1996	Pelstring et al.		
A16	5,558,445	09/24/1996	Chen et al.		
A17	5,579,188	11/26/1996	Dunfield et al.		
A18	5,587,617	12/24/1996	Dunfield et al.		
A19	5,598,048	01/28/1997	Dunfield et al.		
A20	5,610,463	03/11/1997	Dunfield et al.		
A21	5,619,083	04/08/1997	Dunfield et al.		
A22	5,619,389	04/08/1997	Dunfield et al.		
A23	5,668,427	09/16/1997	Morita		
A24	5,672,927	09/30/1997	Viskochil		
A25	5,675,196	10/07/1997	Huang et al.		
A26	5,694,268	12/02/1997	Dunfield et al.		
A27	5,729,404	03/17/1998	Dunfield et al.		
A28	5,742,450	04/21/1998	Moser		
A29	5,751,514	05/12/1998	Hyde et al.		
A30	5,633,545	05/27/1997	Albrecht et al.		
A31	5,666,242	09/09/1997	Edwards et al.		
A32	5,806,169	09/15/1998	Trago et al.		

EXAMINER INITIAL	OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)
A33	LNP Engineering Plastics, Press Release entitled "LNP Introduces First-Ever Line of Thermally Conductive Compounds," January 28, 1999 (2 pages)
A34	LNP Engineering Plastics, Advertisement entitled "Konduit™ Thermally Conductive Composites," undated (2 pages)

EXAMINER	DATE CONSIDERED
----------	-----------------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.